## **APPENDIX I-A**



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUNE 21, 2004

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FOLEY & LARDNER
STEPHEN B. MAEBIUS
WASHINGTON HARBOUR
3000 K STREET, N.W., SUITE 500
WASHINGTON, D.C. 20007-5143

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/01/2003

REEL/FRAME: 014752/0989

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

**ASSIGNOR:** 

YADAV, TAPESH

DOC DATE: 11/26/2003

ASSIGNEE:

NANOPRODUCTS CORPORATION 14330 LONG PEAK COURT LONGMONT, COLORADO 80504

SERIAL NUMBER: 10724158

FILING DATE: 12/01/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: COLOR PIGMENTS NANOTECHNOLOGY

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ANTIONE ROYALL, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

, 12-08-2003

Atty. Dkt. No. 037768-0109

FORM PTO-1695 (modified)  (Rev 643)  REC			SHEET		ENT OF COMMERCE Period and Trademark Office
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To the Director of the United States Patent			e attached origin	al documents or	copies thereof.
Name of conveying party(ies):	2.1.03	2. Name and a	address of rece	iving party(ies	):
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Additional conveying party(les)	10				•
3. Nature of conveyance:					
ASSIGNMENT				•	
Execution Date: November 26, 2003	Additional nam	e(s) & address	(es) attached?	NO	
4. Application number(s) or patent number		24158		_	
If this is being filed together with a new	application, the exe	cution date of the	e application is:	:	
A. Patent Application Number(s):		B. Pa	lent Number(s)	:	
	Additional numbe	ers attached? NO			
5. Name and address of party to whom correspondence		1	er of application	ns/patents invo	olved: 1
concerning document should be malled	:	7. Total fee (3)		<u> </u>	\$40.00
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FOLEY & LARDNER		X Check Er	nclosed		
Washington Harbour 3000 K Street, N.W., Suite 50	Charge to deposit account				
Washington, D.C. 20007-514	8. Deposit account number: 19-0741				
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9. Statement and signature: To the best of my knowledge and copy of the original document. The Comm be required in this matter to the above-ide	nissioner is hereby a	uthorized to cha ınt.	rge any additio	and any attaci nal recordation	ned copy is a true n fees which may
Stephen B. Maebius		#1/2/5.	Much		1/03
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Atty. Dkt. No. 037768-0109

## ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor (hereinafter referred to as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transferrs, unto

NanoProducts Corporation 14330 Longs Peak Court Longmont, CO 80504

(hereinafter ASSIGNEE) its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries in and to this invention relating to

## COLOR PIGMENTS NANOTECHNOLOGY

as set forth in	this United States Patent Application	
check one	[ X ] executed concurrently herewith, [ ] executed on [ ] Serial No.	Filed

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the International Convention and any other international agreement to which the United States adheres; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to file patent applications in any or all countries on the above-identified invention or inventions in the name of the undersigned or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable under the International Convention or otherwise.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

Atty. Dkt. No. 037768-0109

ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavir as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of Foley & Lardner the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of Foley & Lardner do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Atty. Dkt. No. 037768-0109

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Executed this 26 day of NOV

Witnessed By:

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